

**Inter-Governmental Agreement Declaration to confirm
tax status under FATCA**

Bank use only

Client Entity Name PCC of St. XXXXXX	Customer Number
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Client Entity Business Address **1 CHURCH LANE, CHURCHINGTON,
LINCS, CH2 2UP UNITED KINGDOM**

Your Business Address is the address where you are treated as resident for tax purposes, or, if not applicable, the address of your principal office

Mailing Address, if different from the above address

(A diagonal line is drawn through this box)

Country of Incorporation **UNITED KINGDOM**

All countries in which the entity is resident for Tax purposes

1 **UNITED KINGDOM**

2

3

FATCA ENTITY CLASSIFICATION STATUS

In relation to chapter 4, subtitle A (sections 1471 through 1474) of the United States Internal Revenue Code of 1986 (the provisions commonly referred to as the "Foreign Account Tax Compliance Act" or "FATCA") and regulations made thereunder or any intergovernmental agreements to facilitate compliance with FATCA with the United States, I/We *(please delete as appropriate)* make the following certifications:

1. The entity is not:
 - A corporation or partnership incorporated, created or organised in the United States of America or under the law of the United States of America or of any of the States of the United States of America, including the District of Columbia
 - A trust in respect of which a court within the United States of America is able to exercise primary supervision over the administration of the trust, and one or more United States persons have the authority to control all substantial decisions of the trust; or

1 An estate (other than an estate the income of which, from sources without the United States which is not effectively connected with the conduct of a trade or business within the United States, is not includible in gross income under subtitle A of the United States Internal Revenue Code of 1986)

- 2 The entity is not a Financial Institution
- 3 The entity is not acting as an agent, intermediary or nominee of another person
- 4 Please read the following information carefully and select one of the entity types to provides confirmation of entity status under FATCA. Once you have made your selection, please complete the section and sign on page 4 of this form. You will find more information on some of the terms used within this declaration within the associated notes of this declaration
- 5 If you are unable to sign this declaration, you will need to provide alternative documentation, which can be downloaded from <http://www.fatca.hsbc.com/en/cmb/uk>

If you have any questions in relation to this declaration or are unsure whether the entity satisfies any of the criteria stated in this declaration, please contact a tax advisor.



Trading Entity (Active Non-Financial Foreign Entity)

I/We hereby certify that the entity satisfies the following criteria:

- Less than 50% of the entity's gross income for the preceding calendar year or other appropriate reporting period is passive income *(see notes)*

AND

- Less than 50% of the entity's assets held by us during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income

AND

- The entity is engaged in the following active trade or business other than that of a financial institution *(see notes)*:

Start Up Trading Entity (Active Non-Financial Foreign Entity)

I/We hereby certify that the entity satisfies the following criteria:

- The entity is not yet operating a business and have no prior operating history, but am investing capital into assets with the intent to operate a business other than that of a financial institution.

- I acknowledge that this certification will expire 24 months from the date that is 24 months after the date of the initial organization of the NFFE *(see notes)*

- The Entity was formed on:

Please state day, month and year (cannot be a date which is more than 24 months before the date of signature on this declaration)

Non US Government Entity

I/We hereby certify that the entity satisfies the following criteria:

The entity is a non-U.S. government entity, a political subdivision of such government (which, includes a state, province, county, or municipality), or a public body performing a function of such government or a political subdivision thereof, a government entity of a U.S. Territory, an international organization, a non-U.S. central bank of issue, or an entity wholly owned by one or more of the foregoing

Listed Entity

I/We hereby certify that the entity satisfies the following criteria:

- The entity is a non-financial foreign entity and its stock is regularly traded on an established securities market (within the meaning of The International Tax Compliance (United States of America) regulations 2014 and HMRC Guidance)

OR

- The entity is a Related Entity (*see notes*) of an entity the stock of which is traded on an established securities market. The name of the entity which is traded on an established securities market is:

Please list all relevant securities markets that apply

Non Financial Holding Group Service Company

I/We hereby certify that the entity satisfies the following criteria:

- Substantially all of the entity's activities consist of holding (in whole or in part) the outstanding stock of, and providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution (*see notes*),
- The entity does not operate as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes

Group Financing Companies

I/We hereby certify that the entity satisfies the following criteria:

- The entity primarily engages in financing and hedging transactions with or for Related Entities (*see notes*) that are not Financial Institutions, and do not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution (*see notes*).

Non Profit or Charitable Organisation

I/We hereby certify that the entity satisfies the following criteria:

I am/We are a Non Profit Organisation a Charitable Organisation

- The entity is established and maintained in its country of residence exclusively for religious, charitable, scientific, artistic, cultural, or educational purposes; or it is established and operated in its jurisdiction of residence and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare;
- The entity is exempt from income tax in our country of residence;
- The entity has no shareholders or members who have a proprietary or beneficial interest in our income or assets;
- The applicable laws of the entity's country of residence or its formation documents do not permit any of our income or assets to be distributed to, or applied for the benefit of, a private person or non-charitable entity other than pursuant to the conduct of its activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the entity has purchased; and
- The applicable laws of the entity's country of residence or its formation documents require that, upon the entity's liquidation or dissolution, all of our assets be distributed to a governmental entity or other non-profit organization, or transfer to the government of our country of residence or any political subdivision thereof
- The Charity registration number is (please see attached covering letter)

US Territory Entity

I/We hereby certify that the entity satisfies the following criteria:

- The entity is organized in a U.S. Territory and all of the owners are bonafide residents of that U.S. Territory

In Liquidation

I/We hereby certify that the entity satisfies the following criteria:

- The entity was not a financial institution in the past five years, and is in the process of liquidating assets or reorganizing with the intent to continue or recommence operations in a business other than that of a financial institution *(see notes)*

Passive Non Financial Foreign Entity

I/We confirm that the entity is not a foreign financial institution and not within any of the other above categories

Information on the entity's Controlling Persons that are Specified US Persons (*see notes*) is listed below:

If the entity has no United States Controlling persons, please tick here:

Full Name

Permanent Residential Address

US Tax ID No.

Full Name

Permanent Residential Address

US Tax ID No.

Full Name

Permanent Residential Address

US Tax ID No.

Full Name

Permanent Residential Address

US Tax ID No.

Please provide details of any additional Controlling Persons on the Additional Controlling Persons Insert

I/We declare that:

All statements made in this declaration are, to the best of my knowledge and belief, correct and complete
Should any of the information we have provided in this declaration become incorrect, I/we will inform HSBC
as soon as possible

Signature
Full Name
Official Position
Date

Signature
Full Name
Official Position
Date

Signature
Full Name
Official Position
Date

Signature
Full Name
Official Position
Date

(Signed in accordance with the mandate)

Please use the Additional Signature Insert for more to sign in accordance with your mandate

